



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450  
www.uspto.gov

Paper No.

SNELL & WILMER  
ONE ARIZONA CENTER  
400 EAST VAN BUREN  
PHOENIX, AZ 85004-0001

**COPY MAILED**

SEP 19 2005

**OFFICE OF PETITIONS**

In re Application of :  
Kozicki et al. : DECISION ON PETITION  
Application No. 10/796,808 :  
Filed: March 8, 2004 :  
Atty Docket No. 29089.4300 :

This is a decision on the "PETITION TO ACCEPT UNINTENTIONALLY DELAYED CLAIM UNDER 35 USC 119(E) FOR BENEFIT OF PRIOR-FILED PROVISIONAL APPLICATION," filed May 25, 2005. Applicants petition for acceptance of the priority claim of the present application to Provisional Application Serial No. 60/452,648.

The petition is **DISMISSED**.

A petition under 37 CFR 1.78(a)(6), to accept an unintentionally delayed claim under 35 U.S.C. § 119(e) for the benefit of a prior filed provisional application, is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after expiration of the period specified in 37 CFR 1.78(a)(5), and must be filed during the pendency of the nonprovisional application. In addition, the petition must be accompanied by:

- (1) the surcharge set forth in 37 CFR 1.17(t);
- (2) a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(5) and the date the claim was filed was unintentional; and

(3) the reference to the prior filed provisional application, supplied in an application data sheet (ADS) (37 CFR 1.76) or as an amendment in the first sentence of the specification following the title. See 35 USC 119(e) and 37 CFR 1.78(a)(5). The Director may require additional information where there is a question whether the delay was unintentional.

The instant pending nonprovisional application was filed on March 8, 2004, and the claim for priority herein is submitted after expiration of the period specified in 37 CFR 1.78(a)(5). The instant petition includes the required surcharge and the required statement of unintentional delay.

Moreover, the present application was filed on Monday, March 8, 2004, within twelve months<sup>1</sup> of the filing date of the prior provisional application, Application No. 60/452,648, which was filed on March 7, 2003, and for which priority is claimed. A reference to the prior provisional application has been included as an amendment to the first sentence of the specification following the title. However, the amendment is not acceptable.

Under 35 U.S.C. 119(e), as contained in Public Law 103-465, a later filed nonprovisional application under 35 U.S.C. 111(a) that is filed within twelve months of an earlier provisional application may claim priority benefits based on the earlier filed provisional application so long as both applications have at least one inventor in common. The amendment includes claims for benefit of other provisional applications under 35 U.S.C. 119(e). However, the amendment does not make clear that any nonprovisional application in the chain was filed within twelve months from the filing date of those provisional applications. The amendment does not identify the intermediate nonprovisional applications that directly claim the benefit of the provisional application and were filed within 12 months of the filing date of the provisional application. Although an application that itself directly claims the benefit of a provisional application is not required to specify the relationship to the provisional application, if the instant nonprovisional application is not filed within the 12 month period, but claims the benefit of an

---

<sup>1</sup> 37 CFR 1.7(b) provides that: If the day that is twelve months after the filing date of a provisional application under 35 U.S.C. 111(b) and § 1.53(c) falls on Saturday, Sunday, or on a Federal holiday within the District of Columbia, the period of pendency shall be extended to the next succeeding secular or business day, which is not a Saturday, Sunday, or a Federal holiday.

intermediate nonprovisional application under 35 U.S.C. 120 that was filed within 12 months from the filing date of the provisional application and claimed the benefit of the provisional application, the intermediate application must be clearly identified as claiming the benefit of the provisional application so that the Office can determine whether the intermediate nonprovisional application was filed within 12 months of the provisional application and thus, whether the claim is proper.

Where the benefit of more than one provisional application is being claimed, the intermediate nonprovisional application(s) claiming the benefit of each provisional application must be indicated. Applicant must state, for example, "this application is continuation of Application No. D, filed ---, which is a continuation-in-part of Application No. C, filed ---, Application No. D claims the benefit of provisional Application No. B, filed ---, and Application No. C claims the benefit of provisional Application No. A, filed ---." If a benefit claim to a provisional application is submitted without an indication that an intermediate application directly claims the benefit of the provisional application and the instant nonprovisional application is not filed within the 12 month period or the relationship between each nonprovisional application is not indicated, the Office will not recognize such benefit claim and will not include the benefit claim on the filing receipt.

Submission of a proper reference is required.

In view thereof, the petition must be dismissed.

As authorized, the \$1,370 fee required by 37 CFR 1.78(a)(6) has been charged to petitioner's Deposit Account No. 19-2814


Further correspondence with respect to this decision should be addressed as follows:

By mail:            Mail Stop Petition  
                      Commissioner for Patents  
                      P.O. Box 1450  
                      Alexandria, VA 22313-1450

By fax:             (571) 273-8300  
                      ATTN: Office of Petitions

By hand: Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Any inquiries concerning this decision may be directed to Senior  
Petitions Attorney Nancy Johnson at (571) 272-3219.

  
Frances Hicks  
Lead Petitions Examiner  
Office of Petitions